

SUBMILLIMETER HETERODYNE SPECTROSCOPY AND REMOTE SENSING OF THE UPPER ATMOSPHERE

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ABSTRACT

The submillimeter region is rich with spectral lines of atmospheric molecules. Remote sensing by submillimeter heterodyne spectroscopy can provide measurements for studying global change and processes in Earth's atmosphere.

MICROWAVE LIMB SOUNDING

Microwave limb sounding using submillimeter wavelength heterodyne spectroscopy from orbiting satellites is a powerful tool for global-scale studies of Earth's stratosphere, mesosphere and lower thermosphere[1]. It is an outgrowth of microwave atmospheric remote sensing initiated in the 1970's [2]. A millimeter wavelength Microwave Limb Sounder (MLS) experiment is on NASA's Upper Atmosphere Research Satellite to be launched in 1991. A submillimeter MLS is being studied for the future Earth Observing System (EOS).

Atmospheric thermal emission spectra at millimeter and submillimeter wavelengths are measured as the instrument field-of-view (FOV) is scanned through the limb from above. Atmospheric profiles of molecular abundances, temperature, pressure, wind, and magnetic field can be determined from the measured emission spectra. Intensity of the emission can provide abundance and temperature. Measured linewidths, and emission from temperature insensitive O₂ lines, can provide pressure. Differentiation of measured pressure with respect to height differential (inferred from the instrument FOV scan encoder) can also provide temperature through atmospheric hydrostatic equilibrium (which relates temperature to pressure and height differential). Doppler shifts can provide wind; Zeeman splitting can provide magnetic field.

STRATOSPHERE SUBMILLIMETER SPECTRA

The submillimeter spectral region is very rich in atmospheric spectral lines due to rotational and spin transitions of molecules and certain atoms. The JPL spectral line catalog [3] contains spectroscopic parameters for calculating signals from these transitions. The opacity of a stratospheric rotation-spin spectral line is proportional to $f\mu^2/Q_{rs}$, where f is the molecule's volume mixing ratio describing its abundance, μ is the molecule's permanent dipole moment describing its strength of interaction with electromagnetic radiation, and Q_{rs} is its partition function describing the approximate num-

ber of states over which the total molecular abundance is distributed. $f\mu^2/Q_{rs}$ is thus a useful 'figure of merit' indicating relative signal strengths expected from various molecule. This is valuable as a guideline to indicate what molecules might be measurable and, equally important, what molecules might introduce unwanted spectral interference. However, $f\mu^2/Q_{rs}$ should only be used as a 'guideline' as strengths of individual lines depend upon other factors (given in the JPL catalog) which generally require knowledge of the molecular spectrum. Table 1 lists stratospheric molecules and atoms in decreasing order of $f\mu^2/Q_{rs}$.

THE EOS MLS EXPERIMENT

Figure 1 gives the projected EOS MLS measurement capability. Measurement sensitivity as a function of height, and examples of scientific value of the data, are discussed in [1]. A 625-650 GHz Submillimeter Limb Sounder (SLS) for scientific studies of the stratosphere from balloon and aircraft has also been developed by J.C. Hardy, R.A. Stachnik and colleagues. The SLS is scheduled for a first flight in 1991, and will also provide valuable experience for EOS MLS.

ACKNOWLEDGEMENTS

I thank Drs. E.A. Cohen, H.M. Pickett and R.L. Poynter for their efforts over many years in compiling the JPL spectroscopic catalog. I also thank Dr. Cohen for assistance in preparing Table 1, especially for spectroscopic parameters of molecules not in the JPL catalog, and Drs. L. Froidevaux and W.G. Read for assistance in preparing Figure 1. This work was performed by the Jet Propulsion Laboratory, California Institute of Technology, under contract with the National Aeronautics and Space Administration.

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- [3] R. Poynter and H. Pickett, "Submillimeter, millimeter and microwave spectral line catalog," *Appl. Opt.*, vol. 24, pp. 2235-2240, 1985.



Table 1: Stratospheric molecules and atoms in descending order of $f\mu^2/Q_{rs}$. Molecules in the JPL catalog are identified by an entry in the SPECTAG column and by the symbol • in the plot. HD¹⁷O, N₂O(2ν₂) and N₂O(2ν₂) have been added to the catalog since release of Version 3 in March 1991. Values of Q_{rs} given here for the following molecules have been reduced from that given in the catalog in order to account for unresolved overlapping lines in the stratospheric spectrum: ClO and BrO by 8x, HCN (all states and isotopes except HC¹⁵N) by 3x, HNO₃(ν₉) by 2x.

$\log_{10} \frac{f\mu^2}{Q_{rs}}$	molecule or atom	JPL SPEC- TAG	μ (D)	Q_{rs} at 225 K	approx. max. strat- ospheric mixing ratio value $\equiv f$ ht/km	plot of $\log_{10}\{f\mu^2/Q_{rs}\}$											
						-17	-16	-15	-14	-13	-12	-11	-10	-9	-8	-7	-6
-6.4	O ₂	32001	0.0186	1.64×10 ²	2.1×10 ⁻¹	<~90											
-6.8	H ₂ O	18003	1.855	1.16×10 ²	5.0×10 ⁻⁶	50											
-9.1	¹⁸ OO	34001	0.0186	3.47×10 ²	8.6×10 ⁻⁴	<~90											
-9.1	O ₃	48004	0.5337	2.26×10 ³	7.0×10 ⁻⁶	35											
-9.5	H ₂ ¹⁸ O	20003	1.85	1.17×10 ²	1.0×10 ⁻⁸	50											
-9.9	HF	20002	1.826	1.05×10 ¹	4.0×10 ⁻¹⁰	35											
-10.1	¹⁷ OO	33002	0.0186	3.47×10 ²	1.5×10 ⁻⁴	<~90											
-10.2	H ₂ ¹⁷ O	19003	1.85	1.16×10 ²	1.9×10 ⁻⁹	50											
-10.3	HDO	19002	1.732	9.56×10 ¹	1.5×10 ⁻⁹	50											
-10.3	OH	17001	1.667	6.03×10 ¹	1.0×10 ⁻⁹	50											
-10.4	O ₂ (¹ Δ)	32005	0.0372	1.13×10 ²	3.0×10 ⁻⁶	70											
-10.5	H ³⁵ Cl	36001	1.109	6.09×10 ¹	1.5×10 ⁻⁹	50											
-10.7	O ₂ (ν ₁)	32002	0.0186	1.64×10 ²	1.0×10 ⁻⁵	<~90											
-10.8	CO	28001	0.1098	8.17×10 ¹	1.0×10 ⁻⁷	50											
-10.9	N ₂ O	44004	0.1608	3.74×10 ²	2.0×10 ⁻⁷	20											
-11.0	O ₃ (ν ₂)	48005	0.5261	2.26×10 ³	7.9×10 ⁻⁸	35											
-11.0	H ³⁷ Cl	38001	1.109	6.10×10 ¹	0.5×10 ⁻⁹	50											
-11.1	HCN	27001	2.984	1.06×10 ²	1.0×10 ⁻¹⁰	30											
-11.3	¹⁶ O	16001	0.0186	6.324	1.0×10 ⁻⁷	50											
-11.3	H ₂ O(ν ₂)	18005	1.855	1.16×10 ²	1.9×10 ⁻¹⁰	50											
-11.7	³⁵ ClO	51002	1.298	2.85×10 ²	3.0×10 ⁻¹⁰	35											
-11.8	¹⁸ OOO	50004	0.532	4.69×10 ³	2.9×10 ⁻⁸	35											
-11.8	O ¹⁸ OO	50003	0.532	2.29×10 ³	1.4×10 ⁻⁸	35											
-12.0	O ₃ (ν ₃)	48006	0.532	2.26×10 ³	9.0×10 ⁻⁹	35											
-12.0	HNO ₃	63001	1.99	1.82×10 ⁴	5.0×10 ⁻⁹	25											
-12.1	³⁷ ClO	53002	1.239	2.90×10 ²	1.0×10 ⁻¹⁰	35											
-12.2	O ₃ (ν ₁)	48006	0.532	2.26×10 ³	9.0×10 ⁻⁹	35											
-12.5	NO	30008	0.1587	8.17×10 ²	1.0×10 ⁻⁸	40											
-12.5	H ₂ CO	30004	2.331	1.87×10 ³	1.0×10 ⁻¹⁰	35											
-12.5	N ₂ O(ν ₂)	44009	0.1608	3.74×10 ²	4.6×10 ⁻⁹	20											
-12.6	HO ₂	33001	1.541	2.84×10 ³	3.0×10 ⁻¹⁰	50											
-12.7	OCS	60001	0.715	7.72×10 ²	3.0×10 ⁻¹⁰	20											
-12.8	¹³ CO	29001	0.11	8.55×10 ¹	1.1×10 ⁻⁹	50											
-12.9	NO ₂	46006	0.316	8.75×10 ³	1.0×10 ⁻⁸	40											
-13.0	HO ¹⁷ O	21001	~1.73	9.58×10 ¹	3.0×10 ⁻¹⁴	50											
-13.0	H ¹³ CN	28002	2.984	1.09×10 ²	1.1×10 ⁻¹²	30											
-13.0	O ₃ (2ν ₂)	48007	0.519	2.26×10 ³	9.1×10 ⁻¹⁰	35											
-13.0	¹⁸ OH	19001	1.667	6.06×10 ¹	2.0×10 ⁻¹²	50											
-13.1	HCN(ν ₂)	27003	~2.9	1.06×10 ²	1.0×10 ⁻¹²	30											
-13.2	O ¹⁷ OO	49001	0.532	1.30×10 ⁴	2.6×10 ⁻⁹	35											
-13.2	CH ₃ ³⁵ Cl	50007	1.899	1.83×10 ⁴	3.0×10 ⁻¹⁰	20											
-13.2	SO ₂	64002	1.633	3.77×10 ⁴	1.0×10 ⁻⁹	<~30											
-13.3	¹⁷ OOO	49002	0.532	2.73×10 ⁴	5.2×10 ⁻⁹	35											
-13.3	HO ³⁵ Cl	52006	1.471	6.18×10 ³	1.6×10 ⁻¹⁰	35											
-13.3	¹⁵ NNO	45008	~0.16	3.87×10 ²	7.3×10 ⁻¹⁰	20											
-13.3	N ¹⁵ NO	45007	~0.16	3.74×10 ²	7.3×10 ⁻¹⁰	20											
-13.3	HNO ₃ (ν ₉)	63003	~2.0	1.82×10 ⁴	2.7×10 ⁻¹⁰	25											
-13.4	⁸¹ BrO	97001	1.794	3.69×10 ²	5.0×10 ⁻¹²	35											
-13.4	⁷⁹ BrO	95001	1.794	3.68×10 ²	5.0×10 ⁻¹²	35											
-13.4	H ₂ O ₂	34004	1.573	5.78×10 ³	1.0×10 ⁻¹⁰	35											
-13.5	C ¹⁸ O	30001	0.11	8.58×10 ¹	2.0×10 ⁻¹⁰	50											
-13.5	HC ¹⁵ N	28003	2.984	1.09×10 ²	3.7×10 ⁻¹³	30											
-13.6	NN ¹⁸ O	46007	~0.16	3.96×10 ²	4.0×10 ⁻¹⁰	20											
-13.6	HNO ₃ (ν ₇)	63002	~2.0	1.82×10 ⁴	1.3×10 ⁻¹⁰	25											
-13.7	¹⁸ OOO(ν ₂)	50006	0.532	5.00×10 ³	3.5×10 ⁻¹⁰	35											
-13.7	O ¹⁸ OO(ν ₂)	50005	0.532	2.45×10 ³	1.8×10 ⁻¹⁰	35											
-13.7	HO ³⁷ Cl	54005	1.471	6.29×10 ³	5.3×10 ⁻¹¹	35											
-13.7	CH ₃ ³⁷ Cl	52009	1.899	1.86×10 ⁴	1.0×10 ⁻¹⁰	20											
-13.8	CH ₃ CN	41001	3.919	9.84×10 ³	<1×10 ⁻¹¹												
-13.8	HD ¹⁷ O	20004	~1.73	9.57×10 ¹	5.5×10 ⁻¹³	50											
-13.9	O ₃ (ν ₂ + ν ₃)	48008	~0.52	2.26×10 ³	1.1×10 ⁻¹⁰	35											
-13.9	DF	21002	1.819	1.48×10 ¹	6.0×10 ⁻¹⁴	35											
-13.9	HNO ₃ (ν ₆)	63004	~2.0	1.82×10 ⁴	8.0×10 ⁻¹¹	25											
-14.0	¹⁸ O	0.0186	6.324	2.0×10 ⁻¹⁰	50												
-14.1	O ₃ (ν ₁ + ν ₂)	48008	~0.52	2.26×10 ³	7.0×10 ⁻¹¹	35											
-14.1	N ₂ O(2ν ₂ ⁰)	44010	0.1608	3.74×10 ²	1.1×10 ⁻¹⁰	20											
-14.1	N ₂ O(2ν ₂ ²)	44010	0.1608	3.74×10 ²	1.1×10 ⁻¹⁰	20											
-14.1	³⁵ ClONO ₂	97002	0.72	6.53×10 ⁴	1.0×10 ⁻⁹	30											
-14.1	OC ³⁴ S	62001	0.715	7.78×10 ²	1.3×10 ⁻¹¹	20											
-14.2	³⁵ ClOO ³⁵ Cl	102001	~1	1.07×10 ⁵	0.6×10 ⁻⁹	18											
-14.2	HO ₂ NO ₂	79001	1.288	8.66×10 ⁴	<5×10 ⁻¹⁰	30											
-14.2	HNO ₃ (ν ₈)	63005	~2.0	1.82×10 ⁴	3.9×10 ⁻¹¹	25											
-14.2	C ¹⁷ O	0.11	8.55×10 ¹	3.7×10 ⁻¹¹	50												
-14.3	H ⁸¹ Br	82001	0.828	7.34×10 ¹	2.0×10 ⁻¹²	35											
-14.3	H ⁷⁹ Br	80001	0.828	7.33×10 ¹	2.0×10 ⁻¹²	35											
-14.3	D ³⁵ Cl	37001	~1.1	1.19×10 ²	2.3×10 ⁻¹³	50											
-14.3	NN ¹⁷ O	~0.16	~3.8×10 ²	7.5×10 ⁻¹¹	20												

Table 1 (continued): Stratospheric molecules and atoms in descending order of $f\mu^2/Q_{rs}$.

$\log_{10} \frac{f\mu^2}{Q_{rs}}$	molecule or atom	JPL SPEC- TAG	μ (D)	Q_{rs} at 225 K	approx. max. strat- -ospheric mixing ratio value $\equiv f$ ht/km	plot of $\log_{10}(f\mu^2/Q_{rs})$											
						-17	-16	-15	-14	-13	-12	-11	-10	-9	-8	-7	-6
-14.4	HNO ₃ (ν_5)	63006	~2.0	1.82×10^4	1.8×10^{-11}	25											
-14.4	HO ¹⁵ NO ₂		~2.0	1.82×10^4	1.8×10^{-11}	25											
-14.4	³⁴ SO ₂		~1.6	$\sim 3.8 \times 10^4$	4.2×10^{-11}	<30											
-14.5	³⁵ ClOO ³⁷ Cl	104001	~1	1.07×10^5	0.3×10^{-9}	18											
-14.5	HDO(ν_2)		~1.73	9.56×10^1	1.9×10^{-13}	50											
-14.5	N ₂ O(ν_1)		0.1608	3.74×10^2	5.4×10^{-11}	20											
-14.5	HNO ₃ (2 ν_9)		~2.0	1.82×10^4	1.5×10^{-11}	25											
-14.5	H ₂ ¹³ CO	31002	2.331	1.93×10^3	1.1×10^{-12}	35											
-14.6	OD	18001	1.653	1.53×10^2	1.5×10^{-13}	50											
-14.6	O ³⁵ ClO	67001	1.784	3.44×10^4	3.0×10^{-11}	35											
-14.6	³⁷ ClONO ₂	99001	0.72	6.70×10^4	3.0×10^{-10}	30											
-14.6	COF ₂	66001	0.951	3.99×10^4	1.0×10^{-10}	35											
-14.7	¹⁷ O		0.0186	6.324	3.7×10^{-11}	50											
-14.7	H ¹⁸ ONO ₂		~2.0	1.93×10^4	1.0×10^{-11}	25											
-14.7	HON ¹⁸ O(<i>cis</i>)		~2	1.93×10^4	1.0×10^{-11}	25											
-14.7	HON ¹⁸ O(<i>trans</i>)		~2	1.93×10^4	1.0×10^{-11}	25											
-14.7	O ¹³ CS	61001	0.715	7.74×10^2	3.0×10^{-12}	20											
-14.8	O ₃ (2 ν_3)		~0.52	2.26×10^3	1.1×10^{-11}	35											
-14.8	D ³⁷ Cl	39004	~1.1	1.19×10^2	0.8×10^{-13}	50											
-14.8	OC ¹⁸ O		0.0007	4.25×10^2	1.4×10^{-6}	<70											
-14.9	¹⁵ NO		~0.16	$\sim 8.2 \times 10^2$	3.7×10^{-11}	40											
-14.9	DCN	28004	2.984	1.30×10^2	1.5×10^{-14}	30											
-14.9	O ₃ (3 ν_2)		~0.52	2.26×10^3	1.1×10^{-11}	35											
-14.9	HNO ₃ ($\nu_7 + \nu_9$)		~2.0	1.82×10^4	6.5×10^{-12}	25											
-15.0	O ₃ ($\nu_1 + \nu_3$)		~0.52	2.26×10^3	1.0×10^{-12}	35											
-15.0	O ³⁷ ClO	69001	1.784	3.57×10^4	1.0×10^{-11}	35											
-15.0	HO ¹⁸ O		~1.5	$\sim 2.8 \times 10^3$	1.2×10^{-12}	50											
-15.0	HCN(2 ν_2^2)		~2.9	1.06×10^2	1.2×10^{-14}	30											
-15.1	HCN(2 ν_2^0)		~2.9	3.19×10^2	1.1×10^{-14}	30											
-15.1	HNO ₃ ($\nu_6 + \nu_9$)		~2.0	1.82×10^4	4.3×10^{-12}	25											
-15.1	CHClF ₂ (CFC-22)		1.24	4.31×10^4	2.0×10^{-11}	20											
-15.2	N ¹⁸ O		~0.16	$\sim 8.2 \times 10^2$	2.0×10^{-11}	35											
-15.2	O ₃ (2 ν_1)		~0.52	2.26×10^3	5.6×10^{-12}	35											
-15.2	HNO ₃ (2 ν_7)		~2.0	1.82×10^4	3.1×10^{-12}	25											
-15.2	CH ₃ CCl ₃	20001	~1.8	1.84×10^5	4.0×10^{-11}	20											
-15.3	D ₂ O		1.845	6.80×10^2	4.4×10^{-13}	50											
-15.3	H ₂ C ¹⁸ O	32004	2.331	1.96×10^3	2.0×10^{-13}	35											
-15.3	NO ¹⁸ O		~0.32	$\sim 8.8 \times 10^3$	4.1×10^{-11}	40											
-15.3	¹⁵ NO ₂		~0.32	$\sim 8.8 \times 10^3$	3.7×10^{-11}	40											
-15.4	HNO ₃ ($\nu_8 + \nu_9$)		~2.0	1.82×10^4	2.1×10^{-12}	25											
-15.4	HNO ₃ ($\nu_6 + \nu_7$)		~2.0	1.82×10^4	2.0×10^{-12}	25											
-15.4	SO ¹⁸ O		~1.6	$\sim 3.8 \times 10^4$	4.0×10^{-12}	<~30											
-15.4	¹⁸ OCS	62002	0.715	8.22×10^2	6.0×10^{-13}	20											
-15.4	CCl ₂ F ₂ (CFC-12)		~0.5	1.17×10^5	2.0×10^{-10}	20											
-15.6	HNO ₃ (ν_6)		~2.0	1.82×10^4	1.3×10^{-12}	25											
-15.6	HNO ₃ (ν_3)		~2.0	1.82×10^4	1.2×10^{-12}	25											
-15.7	HNO ₃ (ν_4)		~2.0	1.82×10^4	1.1×10^{-12}	25											
-15.7	HNO ₃ ($\nu_5 + \nu_9$)		~2.0	1.82×10^4	1.0×10^{-12}	25											
-15.7	HNO ₃ ($\nu_7 + \nu_8$)		~2.0	1.82×10^4	9.4×10^{-13}	25											
-15.8	HNO ₃ (3 ν_9)		~2.0	1.82×10^4	8.0×10^{-13}	25											
-15.8	CH ₃ C ¹⁵ N	42001	3.919	3.39×10^3	$<4 \times 10^{-14}$												
-15.9	HNO ₃ ($\nu_6 + \nu_8$)		~2.0	1.82×10^4	6.0×10^{-13}	25											
-15.9	N ¹⁷ O		~0.16	$\sim 8.2 \times 10^2$	3.7×10^{-12}	40											
-16.1	N ₂ O ₅		~0.1	1.13×10^5	1.0×10^{-9}	35											
-16.3	SO ¹⁷ O		~1.6	$\sim 3.8 \times 10^4$	7.5×10^{-12}	<~30											
-16.4	CCl ₃ F(CFC-11)		0.46	1.77×10^5	8.0×10^{-11}	20											
-16.5	CCl ₂ FCClF ₂ (CFC-113)		~0.8	4.40×10^5	2.0×10^{-11}	20											
-16.7	HNO ₃ (ν_2)		~2.0	1.82×10^4	9.0×10^{-14}	25											
-16.9	CH ₃ Br		1.80	2.54×10^4	$<1 \times 10^{-13}$	20											
-17.2	CClF ₃ (CFC-13)		~0.5	7.19×10^4	2.0×10^{-12}	35											
-17.3	CClF ₂ CF ₃ (CFC-115)		~0.8	2.50×10^5	1.0×10^{-12}	35											
-17.4	CBrF ₃ (CFC-13B1)		~1	1.13×10^5	5.0×10^{-13}	20											
-17.5	NO ₃		0.0186	1.05×10^4	1.0×10^{-10}	35											
-17.5	CClF ₂ CClF ₂ (CFC-114)		<0.5	3.40×10^5	4.0×10^{-12}	20											
-17.7	CBrClF ₂ (CFC-12B1)		~1	1.81×10^5	4.0×10^{-13}	20											
-21.9	HNO ₃ (ν_1)		~2.0	1.82×10^4	7.0×10^{-19}	25											

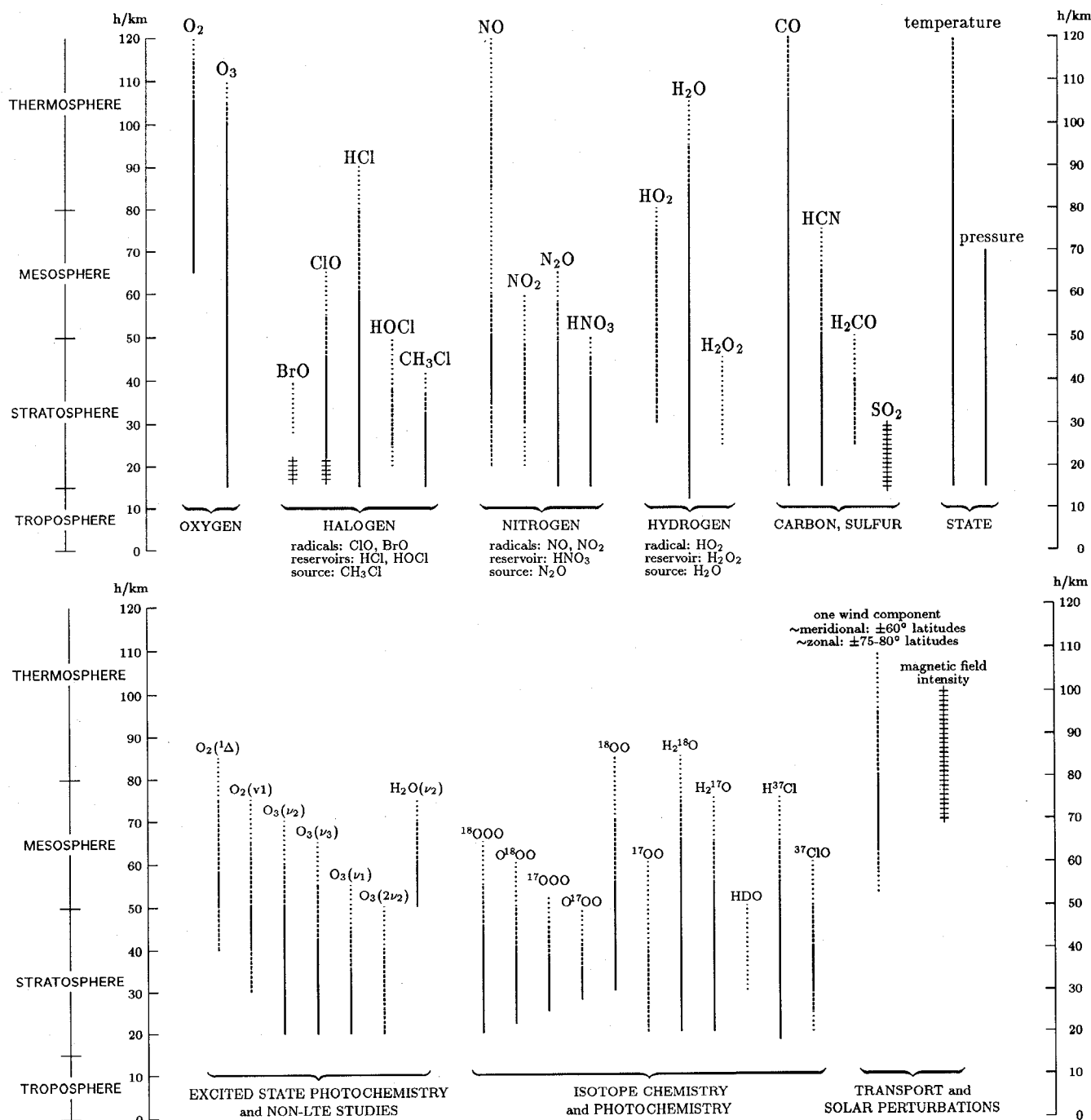


Figure 1: Projected Measurement Capability of EOS MLS. Top: primary objectives. Bottom: additional measurements obtained from the instrument designed for the primary objectives. All measurements can be made simultaneously and continuously — at all times of day and night, and in the presence of aerosols and ice clouds. Vertical resolution is ~ 2 km and latitude coverage is $82^\circ N - 82^\circ S$ on each orbit (for the orbit now being considered for EOS). This coverage is especially well-suited for studying and monitoring high latitude phenomena such as the Antarctic ozone hole and similar processes in the Arctic. Developments now underway for low-noise heterodyne technology in the terahertz region can provide capability for measurements of additionally important upper atmospheric gases such as OH and atomic O.

- | $\Rightarrow$ Individual profiles every 2.5° along great circle of suborbital path
- $\Rightarrow$ Daily zonal means (separate day and night); $\pm 80^\circ$ latitude with 2.5° latitude resolution
- $\Rightarrow$ Monthly zonal means (separate day and night); $\pm 80^\circ$ latitude with 2.5° latitude resolution
- ≡ $\Rightarrow$ Heterogeneous chemistry enhancements for ClO and BrO ; volcanic enhancements for SO_2 ; solar storms for magnetic field